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A mucus production programme promotes classical pancreatic ductal adenocarcinoma

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ABSTRACT

Objective The optimal therapeutic response in cancer patients is highly dependent upon the differentiation state of their tumours. Pancreatic ductal adenocarcinoma (PDA) is a lethal cancer that harbours distinct phenotypic subtypes with preferential sensitivities to standard therapies. This study aimed to investigate intratumour heterogeneity and plasticity of cancer cell states in PDA in order to reveal cell state-specific regulators.

Design We analysed single-cell expression profiling of mouse PDAs, revealing intratumour heterogeneity and cell plasticity and identified pathways activated in the different cell states. We performed comparative analysis of murine and human expression states and confirmed their phenotypic diversity in specimens by immunolabeling. We assessed the function of phenotypic regulators using mouse models of PDA, organoids, cell lines and orthotopically grafted tumour models.

Results Our expression analysis and immunolabeling analysis show that a mucus production programme regulated by the transcription factor SPDEF is highly active in precancerous lesions and the classical subtype of PDA — the most common differentiation state. SPDEF maintains the classical differentiation and supports PDA transformation *in vivo*. The SPDEF tumour-promoting function is mediated by its target genes *AGR2* and *ERN2/IRE1β* that regulate mucus production, and inactivation of the SPDEF programme impairs tumour growth and facilitates subtype interconversion from classical towards basal-like differentiation.

Conclusions Our findings expand our understanding of the transcriptional programmes active in precancerous lesions and PDAs of classical differentiation, determine the regulators of mucus production as specific vulnerabilities in these cell states and reveal phenotype switching as a response mechanism to inactivation of differentiation states determinants.

INTRODUCTION

Pancreatic ductal adenocarcinoma (PDA) is a lethal cancer with a 5-year survival rate of only 12%.¹ The genetic drivers of PDA are well described: oncogenic *KRAS* mutations in the exocrine pancreas serve as an early event and promote the formation of precancerous lesions, including pancreatic intraepithelial neoplasia (PanIN) and intraductal papillary mucinous neoplasm (IPMN).² Subsequent

WHAT IS ALREADY KNOWN ON THIS TOPIC

- ⇒ Pancreatic ductal adenocarcinoma (PDA) cells exist as dynamic cell states underlying intratumour heterogeneity.
- ⇒ Classical PDA cells are characterised by high mucin production.
- ⇒ In secretory cells, the transcription factor SPDEF regulates several proteins involved in mucus production, including *AGR2* and *ERN2/IREβ*.

WHAT THIS STUDY ADDS

- ⇒ Precancerous lesions and PDAs of the classical subtype activate a mucus production programme regulated by SPDEF.
- ⇒ Impairment of the SPDEF programme reduces the growth of classical subtype PDAs *in vivo*.
- ⇒ Inactivation of the SPDEF programme in classical PDAs induces phenotype switching towards a basal-like differentiation.

HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

- ⇒ The SPDEF-regulated enzymes *AGR2* and *ERN2/IREβ* represent new therapeutic targets to investigate for the treatment of classical PDAs.
- ⇒ A comprehensive investigation of cell state interconversions during treatment may avoid relapse and improve overall responses in PDA patients.
- ⇒ Combination strategies that suppress distinct cell states may be required to overcome resistance.

inactivating mutations of tumour suppressor genes (eg, *TP53*, *CDKN2A*, *SMAD4*) drive tumour progression, a finding supported by genetically engineered mouse models (GEMMs) of PDA and genetic analysis of human tumour samples.^{3,4} While the genetic progression of PDA is well characterised, the underlying molecular mechanisms are less understood.

Transcriptomic studies have revealed that PDAs can be clustered into two major subtypes, termed classical and basal-like.^{5–8} PDAs of the classical subtype are typically lower grade tumours with a more favourable prognosis, whereas PDAs of the basal-like subtype are higher grade tumours



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associated with accelerated clinical progression. In addition, classical PDAs are well-differentiated and display glandular structures with high mucus-secreting activity, when compared with poorly differentiated basal-like PDAs with features of mesenchymal/squamous cells.⁹ In accordance with the tumour histology, classical PDAs are enriched for the expression of endoderm specification genes, such as HNF1A, HNF4A and GATA6; whereas basal-like PDAs are characterised by the expression of genes involved in epithelial-to-mesenchymal transition (EMT), response to hypoxia and activation of the transcription factors (TFs) MYC and p63.^{5–7} Subsequent studies have demonstrated that the phenotype of pancreatic cancer cells isn't fixed, but instead exists as dynamic cell states and that classical and basal-like phenotypes coexist within a single tumour and are the result of the integration of cell-intrinsic (eg, genomic aberrations, epigenetic factors) and cell-extrinsic (eg, microenvironmental changes, tissue architecture) inputs.^{10–12} Additionally, intermediate coexpressor (IC) cells expressing genes of both subtypes have been identified in PDA, supporting the premise that PDA cells are plastic and interconvert between differentiation states.¹³

As phenotypic plasticity is now considered a new 'hallmark of cancer', the identification of the factors controlling intratumoural cell states is important for understanding the mechanisms of cancer initiation, progression and response to therapy for all neoplasms.¹⁴ In this study, we reveal various pancreatic cancer differentiation states based on their gene expression profiles and identify a mucus production programme as a differentiation state-specific vulnerability for classical PDAs. Impairment of this programme diminished tumour expansion and shifted cells towards a more basal-like phenotype, indicating subtype switching as a cellular mechanism of resistance.

MATERIALS AND METHODS

Detailed methods are provided in the online supplemental materials and methods.

RESULTS

PDA samples reveal extensive intratumour heterogeneity

To unravel the transcriptional states underlying intratumour heterogeneity, we used the *Kras*^{LSLG12D/+}; *Trp53*^{LSLR172H/+}; *Pdx1-Cre* (KPC) GEMM in which *Kras*^{G12D} and *p53*^{R172H} were expressed in the pancreas under a *Pdx1-Cre* transgene.^{15,16} KPC tumours are characterised by intratumour histological heterogeneity: areas of acinar-to-ductal metaplasia (ADM), low-grade and high-grade mPanINs are intermixed with invasive cancer cells.^{15,16} We performed single-cell RNA sequencing (scRNA-seq) on ADM, mPanIN and neoplastic cells isolated by negative selection of stromal cells from 8 KPC tumours (online supplemental figure 1A; online supplemental table 1). Clustering of batch-adjusted, combined data of 23 991 cells identified six distinct expression states, with a similar contribution of cells from each tumour (online supplemental figure 1B,C). Three of the clusters were clearly demarcated: 'epithelial^{high}' cells in cluster 1 represented 22% of all cells and expressed high levels of epithelial markers and low levels of mesenchymal markers; mesenchymal cells in cluster 3 expressed genes associated with a mesenchymal differentiation and represented 12% of all cells; finally, proliferating cells in cluster 5 expressed genes encoding for proteins involved in cell cycle and mitosis and represented 5% of all cells (figure 1A, online supplemental figure 1D). In contrast, clusters 0, 2 and 4 expressed intermediate levels of epithelial and mesenchymal genes.

To reveal the evolutionary relationships and plasticity of these states, we ordered cells in pseudotime based on their transcriptional similarity. This unsupervised analysis ordered the cells on a V-shaped timeline and placed the epithelial^{high} cells at the bottom of the V and the mesenchymal and proliferating cells at the opposite ends of the trajectory (figure 1B). We next explored how expression states changed along the branches of the pseudotime trajectory. Epithelial^{high} cells in cluster 1 expressed high levels of epithelial markers and their expression was progressively lost or decreased along the pseudotime branches (figure 1C). Cells on the left branch of the pseudotime trajectory progressively acquired the expression of the master regulator of hypoxic signalling *Hif1a* and genes in the TGF β pathway. Both *Hif1 α* and TGF β are known regulators of EMT.¹⁷ Indeed, increased activation of *Hif1 α* and the TGF β pathway corresponded with increased expression of EMT genes and reached the highest expression in the mesenchymal cells in cluster 3.

Cells on the right branch of the pseudotime trajectory also lost or decreased the expression of epithelial genes and acquired the expression of the mesenchymal marker *Vim*. However, different from the cells on the left branch, cells on the right branch did not activate *Hif1 α* or the TGF β pathway and exhibited a partial EMT phenotype. Among these cells, the ones in cluster 5 expressed several genes involved in cell cycle, mitosis and nucleotide biosynthesis. Clusters 0, 2 and 4 reflected transition states between these phenotypes.

To localise these cell states spatially, we analysed the expression of a set of marker genes in tumour sections of KPCY mice (*Kras*^{LSLG12D/+}; *Trp53*^{LSLR172H/+}; *Pdx1-Cre*; *Rosa26*^{LSLYFP}), in which all pancreatic cells expressed yellow fluorescent protein (YFP).¹⁸ In addition, to validate the temporal ordering of the pseudotime trajectory, KPCY tumours were immunolabeled for p53 and p19^{Arf}. The progression from precancerous lesions to invasive PDA in the KPC mouse model is associated with *Trp53* loss of heterozygosity (LOH).^{15,19,20} p53 and p19^{Arf} stabilisation is characteristic of *Trp53* LOH cells, as shown by the protein expression analysis of organoids derived from KPC tumours (online supplemental figure 1E).²¹ Furthermore, transcriptional analysis of the organoids identified the epithelial receptor *Fgfr2* as being highly expressed in KPC cells that retained the wild-type *Trp53* allele compared to *Trp53* LOH cells (online supplemental figure 1F). Investigation of the scRNA-seq data revealed *Fgfr2* expression in epithelial^{high} cells (online supplemental figure 1G).

Immunofluorescence labelling (IF) revealed the presence of epithelial^{high} cells expressing *Fgfr2* and *Epcam* in glandular lesions comprised of cuboidal and columnar cells with histological features of ADM and mPanIN, but rarely in invasive cancer (figure 1D; online supplemental figure 1H). In agreement, *Fgfr2*-expressing cells infrequently exhibited markers of advanced disease, such as elevated expression of p53, p19^{Arf}, the DNA damage marker γ H2AX and the proliferation marker Ki67 (online supplemental figure 1I–M). In contrast, mesenchymal cells marked by *Zeb1* and *Vimentin* and proliferating cells marked by Ki67 and P-H3 displayed malignant histology and presented p53 and p19^{Arf} stabilisation.

Collectively, our data suggested that epithelial^{high} cells progressed to more aggressive phenotypes following inactivation of p53 by losing epithelial features while activating a partial or complete EMT programme. Therefore, targeting epithelial^{high} cells may block precancerous cells from evolving to invasive disease.

Consistent with others, our analysis found that PDA cells occupied a continuum of epithelial-to-mesenchymal expression

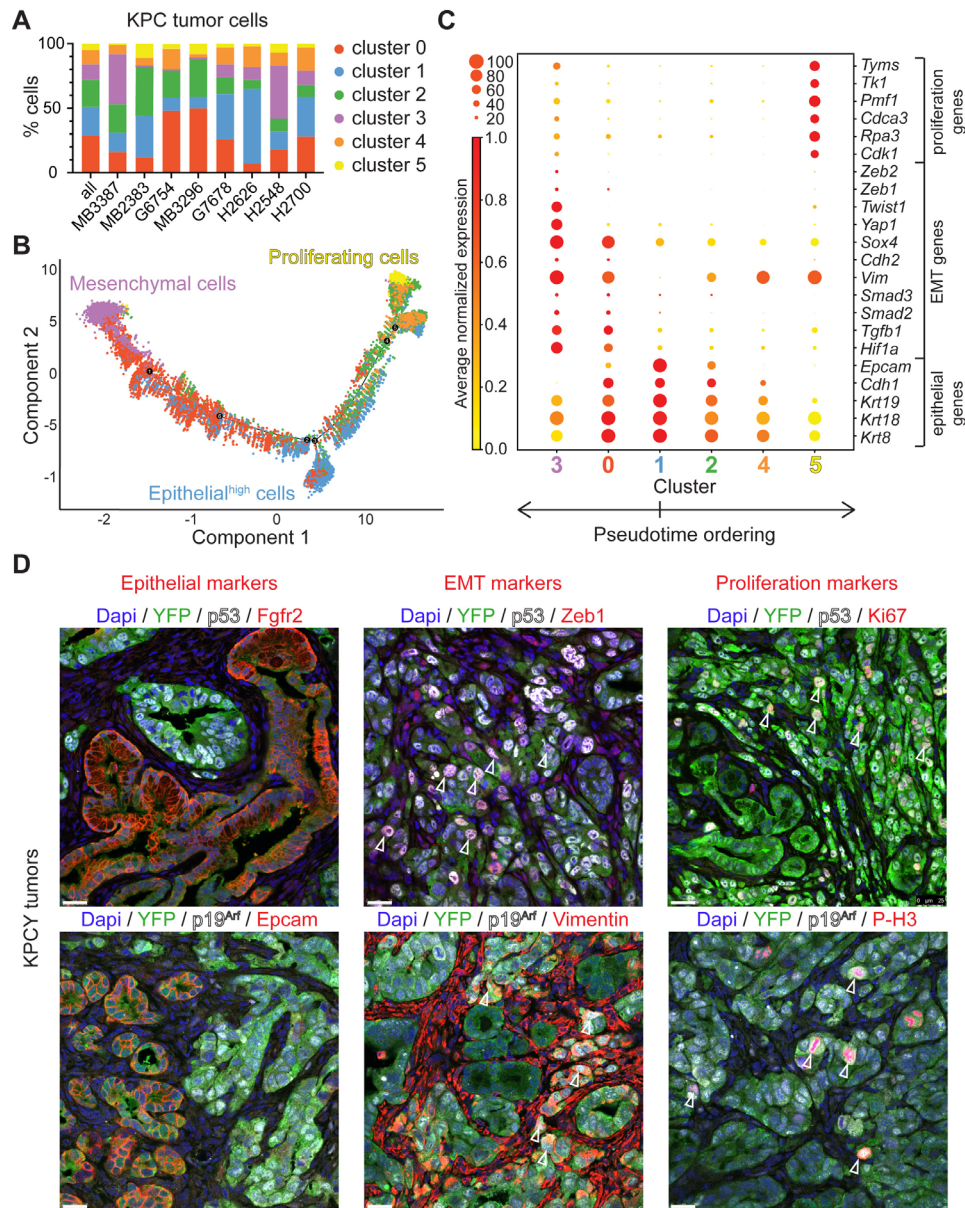


Figure 1 PDA samples reveal extensive intratumour heterogeneity. (A) Percentage of cells from eight independent KPC tumours present in each cluster. (B) Pseudotime ordering of KPC cells, colouring by cluster. (C) Dot plot of the expression of the indicated genes in the different clusters. The size of each dot represents the percentage of cells within a given cluster that expresses the gene; the intensity of colour indicates the average normalised expression. The order of the clusters matches the order of the clusters in the pseudotime trajectory. (D) Representative IF for YFP, p53 or p19^{Arf}, and Fgfr2, Epcam, Zeb1, Vimentin, Ki67, P-H3 in KPCY tumour sections. Scale bars, 25 μ m. Arrow heads mark cells coexpressing p53 and Zeb1/Ki67 or p19^{Arf} and Vimentin/P-H3.

states, which defined the intratumour heterogeneity in KPC pancreatic tumours.^{22 23}

Pancreatic precancerous lesions activate a secretory cell programme

Given that epithelial^{high} cells were enriched with precancerous cells, we sought to further investigate their biology and analysed the marker genes for cluster 1 (online supplemental figure 2A; online supplemental table 2). In addition to strong expression of epithelial genes, we found that epithelial^{high} cells upregulated genes associated with mucus production and secretion, including the TFs *Spdef* and *Foxa3* (figure 2A).

Spdef and *Foxa3* are required for the differentiation of secretory cells, where they regulate mucus production, protein folding

and glycosylation.^{24–26} However, their role in pancreatic cancer progression remains unknown.

Although the mRNAs of some of these genes were barely detected by scRNA-seq, we showed by immunohistochemistry (IHC) that *Spdef* and *Foxa3* target genes *Agr2*, *Gcnt3*, *Clca1*, *Muc5ac*,^{24–26} the gastric genes *Gkn2*, *Gkn3*, *Tff1*, *Tff2* and the epithelial markers *Epcam* and *Fgfr2* were expressed by a large fraction of cells with precancerous histopathology in KPC tumour tissues (figure 2B,C). In addition, using RNA *in situ* hybridisation (RNA ISH) in combination with IF we demonstrated that *Spdef*, *Foxa3* and their target genes *Agr2* and *Ern2* were often coexpressed with the epithelial^{high} cells markers *Epcam* and *Gkn1* (figure 2D–G; online supplemental figure 2B,C). We selected *Gkn1* because it was highly and selectively expressed by

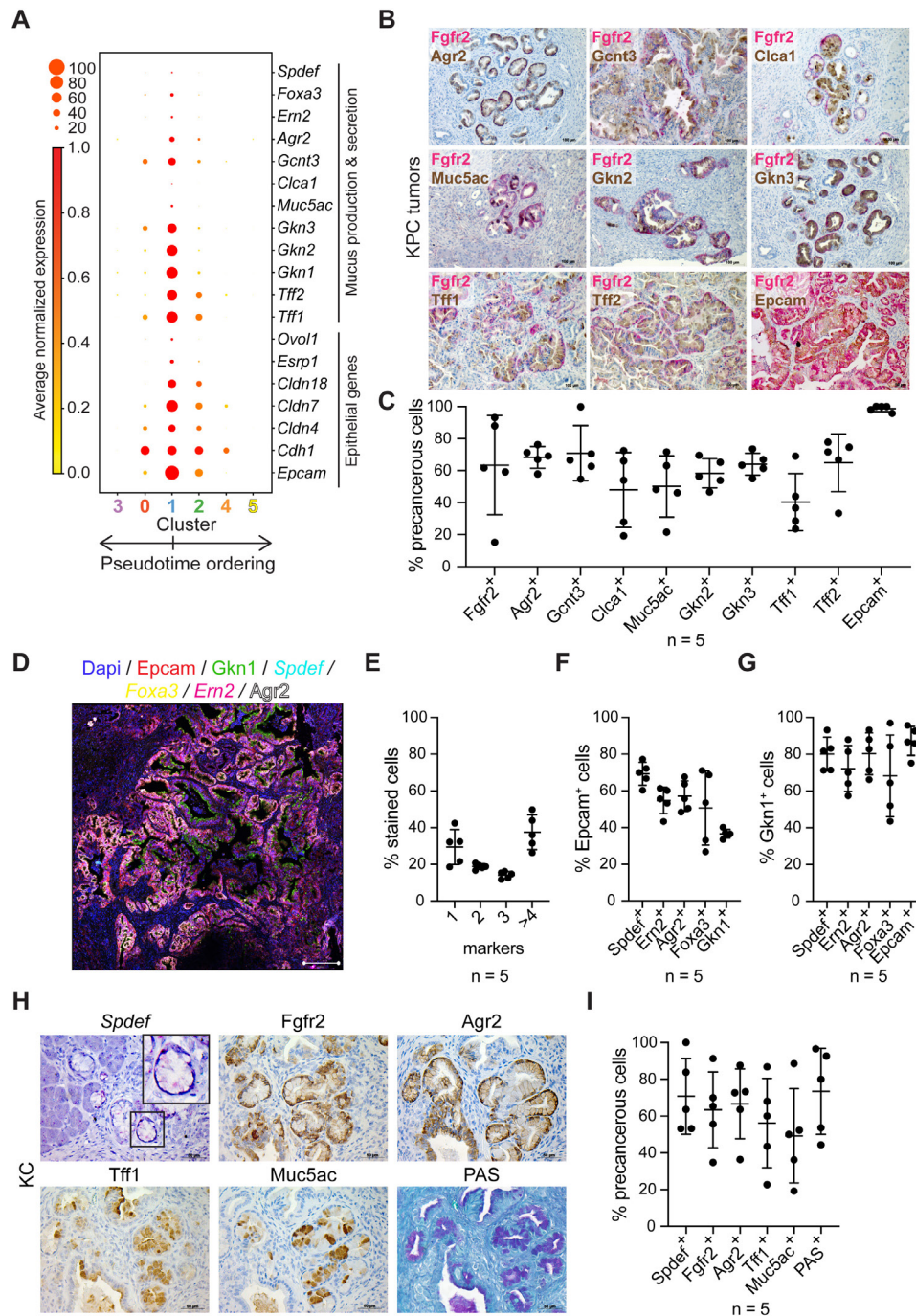


Figure 2 Pancreatic precancerous lesions activate a secretory cell programme. (A) Dot plot of the expression of the indicated genes in the different clusters. The size of each dot represents the percentage of cells within a given cluster that expresses the gene; the intensity of colour indicates the average normalised expression. The order of the clusters matches the order of the clusters in the pseudotime trajectory. (B) Representative IHC for *Fgfr2* and *Agr2*, *Gcnt3*, *Clca1*, *Muc5ac*, *Gkn2*, *Gkn3*, *Tff1*, *Tff2*, *Epcam* in KPC tumour sections. (C) Average percentage \pm SD of precancerous cells expressing *Fgfr2*, *Agr2*, *Gcnt3*, *Clca1*, *Muc5ac*, *Gkn2*, *Gkn3*, *Tff1*, *Tff2*, *Epcam* in KPC tumour sections (n=5). (D) Representative RNA ISH of *Spdef*, *Foxa3* and *Em2* combined with IF for *Epcam*, *Gkn1* and *Agr2* in a KPC tumour section. Scale bar, 200 μ m. (E) Average percentage \pm SD of cells stained for one or more markers by RNA ISH combined with IF in KPC tumour sections (n=5). (F, G) Average percentage \pm SD of *Epcam*-positive (F) and *Gkn1*-positive (G) cells presenting the indicated markers in KPC tumour sections (n=5). (H) Representative RNA ISH of *Spdef*, IHC for *Fgfr2*, *Agr2*, *Tff1*, *Muc5ac* and PAS staining in pancreatic tissue from a 3 months-old KC mouse. Scale bars, 50 μ m. (I) Average percentage \pm SD of precancerous cells expressing the indicated markers in pancreata from KC mice (n=5).

many, although not all, epithelial^{high} cells and *Epcam* because it was highly expressed by most epithelial^{high} cells and only weakly expressed by other cell states.

Next, to determine when these mucus-secreting cells first appeared during pancreatic tumourigenesis, we analysed the

pancreata of *Kras*^{LSLG12D/+}; *Pdx1-Cre* (KC) mice for the expression of *Spdef* by RNA ISH, *Fgfr2*, *Agr2*, *Tff1*, *Muc5ac* by IHC and for the production of mucus by Periodic acid–Schiff (PAS) staining (figure 2H,I). We found that the expression of these genes and the secretion of mucus could be observed as early as

transformation by Kras^{G12D} initiated ADM and mPanIN development and persisted during disease progression in tumour-adjacent precancerous lesions. This mucus-producing programme was upregulated in precancerous cells as indicated by the analysis of published scRNA-seq datasets of mouse normal pancreas and premalignant lesions (online supplemental figure 2D,E).^{27 28} Furthermore, although not activated following acute injury to the pancreas (online supplemental figure 2E), this programme was reported to be induced upon chronic injury.²⁹

The repression of the *Spdef* programme in neoplastic cells was likely mediated by TGF β signalling, a mechanism previously described in conjunctival epithelium.³⁰ Indeed, culturing of murine precancerous organoids in medium without any additives including the TGF β inhibitor A83-01 ('Minimal' medium) resulted in the downregulation of *Spdef*, *Agr2* and *Ern2* (online supplemental figure 2F). The addition of TGF β to the culture medium further reduced their expression and induced the expression of the mesenchymal genes *Vim* and *Zeb1*.

Spdef and its target genes *Ern2/Ire1 β* and *Agr2* support murine pancreatic tumour growth

Mucus production involves the complex folding and glycosylation of secreted proteins, including the high molecular weight mucins.³¹ To investigate whether interfering with the regulation of mucus production would affect pancreatic cancer progression, we inactivated *Foxa3* and *Spdef* in mouse tumour organoids ('mT') using small guide RNA (sgRNA) pairs designed to delete the transcription start site (TSS) and isolated single-cell-derived clones. *Foxa3* and *Spdef* loss were assessed at the mRNA level, as we could not identify reliable antibodies (online supplemental figure 3A–E). The experiments on the effect of *Foxa3* deletion on the growth of mT69a and mT6 organoids *in vivo* did not demonstrate a dependency for tumour progression (online supplemental figure 3F,G). On the contrary, *Spdef* inactivation in two clones of mT69a severely impaired tumour growth *in vivo* following orthotopic transplantation (figure 3A; online supplemental figure 3H). In addition, mice transplanted with the slowest-growing clone showed significantly delayed PDA development (figure 3B). The tumour-promoting role of *Spdef* in pancreatic cancer progression was also confirmed in the tumour organoid line mT6 (figure 3C; online supplemental figure 3I). Notably, *Spdef* inactivation did not have a clear effect on tumour organoid proliferation *in vitro* and changes in duplication rate were minor and more likely due to clonality, suggesting an involvement of the pancreatic environment in determining *Spdef*-mediated tumour growth (online supplemental figure 3J).

To determine the molecular mechanism underlying the tumour growth defect observed upon *Spdef* loss, we performed genome-wide profiling of *Spdef* binding sites by Cleavage Under Targets & Release Using Nuclease (CUT&RUN) in KPC FC1245 cells expressing HA-tagged *Spdef*. We found that the majority of the high confidence peaks were located at promoters, introns and intergenic sites and enriched for the *Spdef* motif (figure 3D and E, online supplemental table 3). Next, we performed RNA sequencing (RNA-seq) of mT6 and mT69a organoid clones with or without knock-out (KO) of *Spdef* and restoration by cDNA expression (figure 3F; online supplemental table 4). We identified the *Spdef*-regulated genes whose differential expression upon *Spdef* deletion was reverted by *Spdef* re-expression. Of these genes, 5 were downregulated upon *Spdef* KO and upregulated upon its re-expression, while 11 were upregulated upon *Spdef* KO and downregulated upon its re-expression in both mT6 and mT69a organoids. The positively regulated genes were

the TF *Foxa3*, the endoplasmic reticulum (ER)-resident disulfide isomerase *Agr2*, the secretory cells-specific ER stress sensor *Ern2/Ire1 β* , the tight junction protein *Cldn2* and the cholesterol transporter *Gramd1b*. All of these genes presented a *Spdef* binding site in the CUT&RUN experiment.

Mucus-secreting cells rely on ER activity to achieve proper folding of secreted proteins and prevent ER stress.³² As both *Agr2* and *Ern2/Ire1 β* are localised in the ER and play a role in the maintenance of ER homeostasis, we next investigated whether deletion of *Agr2* and *Ern2* would mimic the effect of deletion of *Spdef*.^{33–35} We confirmed by RT-qPCR in mT6, mT23 and mT69a organoids that *Agr2* and *Ern2* were regulated by *Spdef* as previously reported (online supplemental figure 3K).^{24 25} Furthermore, we verified *Spdef*-mediated modulation of *Agr2* at the protein level too (figure 3G). *Agr2* and *Ern2* inactivation was achieved with sgRNA pairs designed to delete the TSS followed by the isolation of single-cell-derived clones. *Agr2* and *Ern2* loss were assessed at the mRNA level and by confirming the deletion of the TSS at the genomic DNA level (online supplemental figure 3L–N). We found that deletion of *Agr2* in two different mT69a clones reduced tumour growth *in vivo* following orthotopic transplantation (figure 3H; online supplemental figure 3O). Complete deletion of *Ern2* in mT69a and mT6 strongly impaired tumour growth *in vivo* (figure 3I,J; online supplemental figure 3P,Q). Of note, partial inactivation of *Ern2* in mT69a had an intermediate effect. Thus, similar to *Spdef*, *Ern2/Ire1 β* and *Agr2* promoted the growth *in vivo* of epithelial pancreatic cancer cells.

The tumours formed following mT organoid implantation were highly cellular and did not produce mucus, independently of whether they were derived from mT organoids expressing or not *Spdef*, *Agr2* or *Ern2* (online supplemental figure 3H,I, O–Q). We analysed *Spdef* and *Ern2* expression by RNA ISH and *Agr2* expression by IHC and found that most of the malignant cells in control tumours did not express *Spdef*, *Ern2* or *Agr2* except for few rare lesions (online supplemental figure 3R). We concluded that *Spdef*, *Ern2/Ire1 β* and *Agr2* were required in the early events of tumorigenesis before tumour cells lost their epithelial and mucus-secreting nature and underwent malignant differentiation to more invasive phenotypes, in accordance with the tumour progression model inferred from the analysis of the scRNA-seq data.

The SPDEF-regulated mucus production programme is expressed by human precancerous lesions and classical PDAs

To determine whether mouse PDAs have similar expression states to human PDAs or vice versa, we performed a comparative analysis of cell differentiation states between mice and humans. Human PDA expression states derived from scRNA-seq were defined as scClassical, IC and scBasal.¹³ To this end, we calculated scores based on the expression of mouse and human PDA signatures in single KPC tumour cells and human PDA cells (figure 4A; online supplemental table 5).¹³ Notably, pairwise comparisons of the expression of our mouse PDA signatures with murine versions of the human PDA signatures revealed that expression of the epithelial^{high} cell signature was strongly correlated with the expression of the scClassical signature ($R=0.87$) in single KPC tumour cells (figure 4A—left panel; online supplemental figure 4A). However, murine correlates of the human IC and scBasal states were less apparent. Next, we evaluated the reciprocal relationships by analysing the expression of humanised versions of the mouse PDA signatures in single human PDA cells (figure 4A—right panel). We found that expression of the epithelial^{high} cells signature strongly correlated with expression of the scClassical

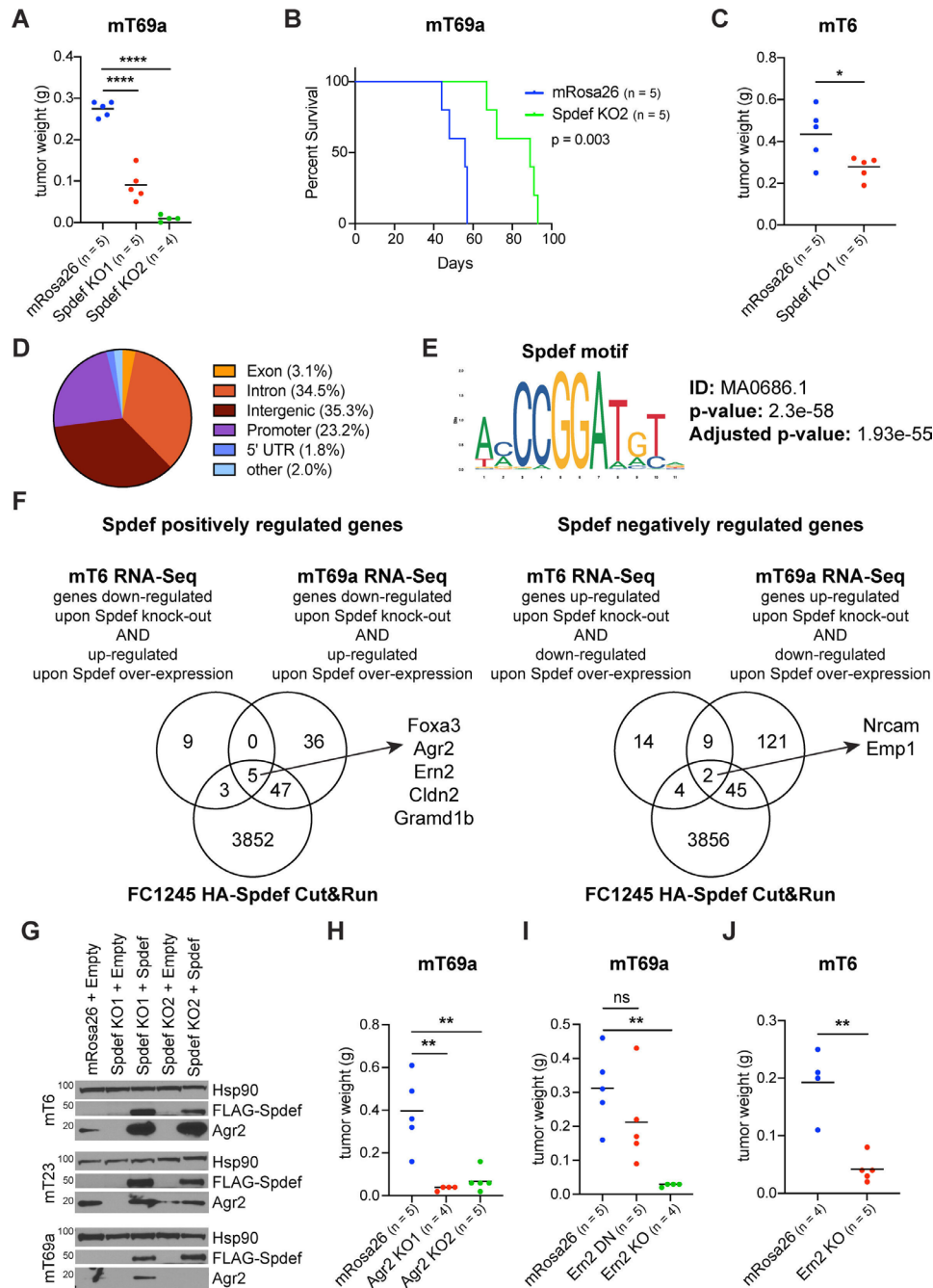


Figure 3 *Spdef* and its target genes *Ern2/Irf1 β* and *Agr2* support murine pancreatic tumour growth. (A, C, H, I, J) Quantification of weight of tumours derived from mT69a (A, H, I) and mT6 (C, J) orthotopically grafted organoid (OGO) models of *Spdef* KO (A, C), *Agr2* KO (H), *Ern2* KO or partial inactivation DN (I, J) and mRosa26 clones in nu/nu mice. Results show mean of biological replicates. Unpaired Student's t-test. (B) Kaplan-Meier survival curve of percent survival for mT69a OGO models of *Spdef* KO and mRosa26 clones in nu/nu mice. Log-rank (Mantel-Cox) test. (D) Pie chart of percent distribution of high confidence HA-*Spdef* peaks (≥ 2 replicates) across genomic features. (E) *Spdef* motif enrichment as determined by MEME motif analysis on the high confidence HA-*Spdef*-binding sites. (F) Venn diagram of the differentially expressed genes identified by RNA-seq following *Spdef* KO and restoration by cDNA expression in mT69a and mT6 organoids (upregulated genes: q-value <0.05 , \log_2 of fold change >0 ; downregulated genes: q-value <0.05 , \log_2 of fold change <0). Genes assigned to HA-*Spdef* peaks in KPC FC1245 cells are indicated. (G) *Agr2* and FLAG-*Spdef* expression analysis by Western blotting in mT6, mT23 and mT69a organoids following *Spdef* KO and restoration by cDNA expression. Loading control: Hsp90.

signature ($R=0.83$), poorly correlated with expression of the IC signature ($R=0.19$) and inversely correlated with expression of the scBasal signature ($R=-0.43$) (online supplemental figure 4A). In addition, expression of the mesenchymal cancer cells signature moderately correlated with expression of the scBasal signature ($R=0.38$) and inversely correlated with expression of

the scClassical signature ($R=-0.34$). Taken together, this analysis revealed high correlation between murine epithelial^{high} cells and human classical PDA cells expression states. This result was consistent with the previous findings that classical PDAs exhibited the epithelial and mucus-secreting nature displayed in KPC precancerous cells.⁶

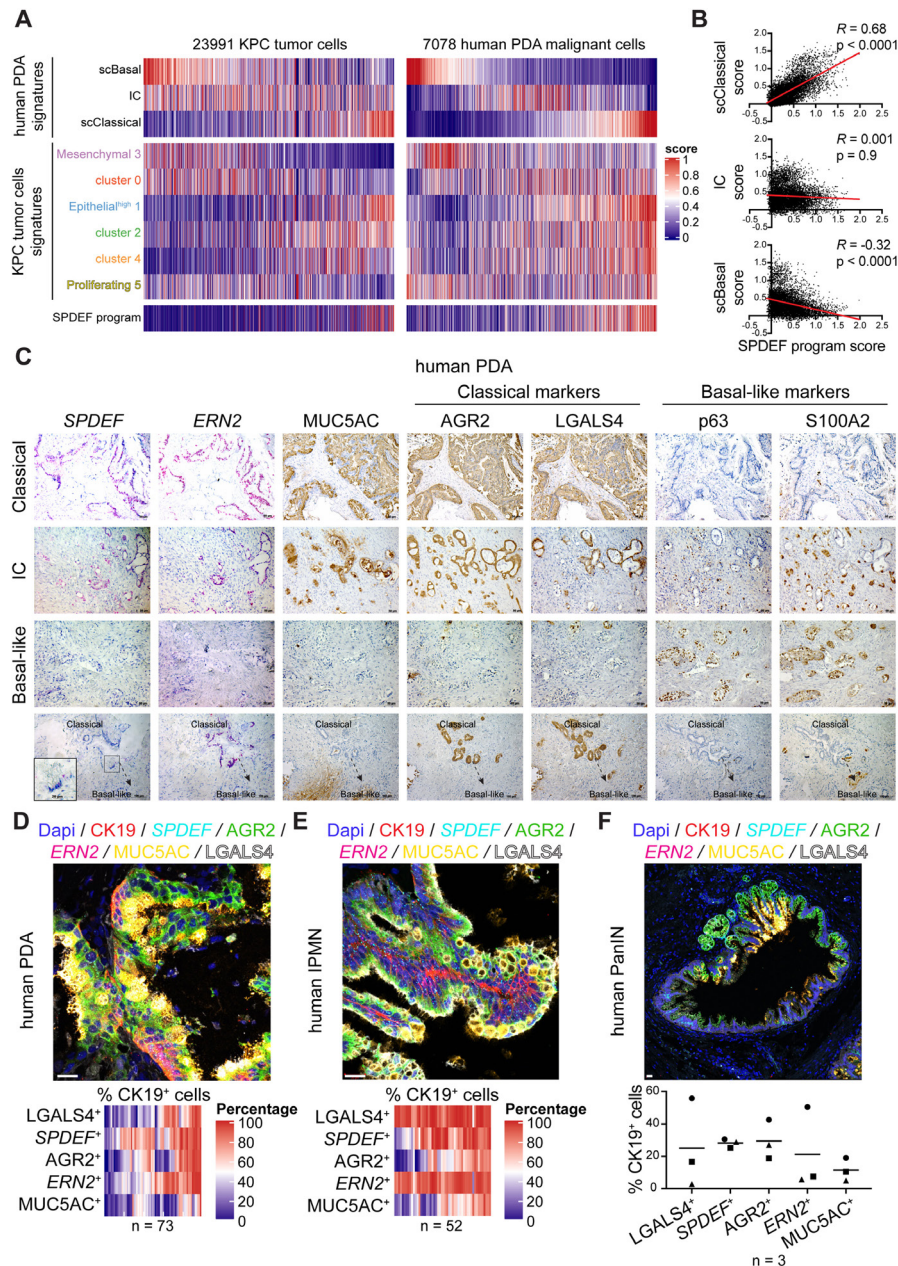


Figure 4 The SPDEF-regulated mucus production programme is expressed by human precancerous lesions and classical PDAs (A) Heatmap of signature scores (rows) in single KPC tumour cells and human PDA malignant cells (columns).¹³ (B) Correlation between SPDEF programme score (x-axis) and signature scores (y-axis) in single human PDA malignant cells.¹³ Spearman's rank correlation coefficient and p value. (C) Representative RNA ISH of *SPDEF* and *ERN2* and IHC for MUC5AC, AGR2, LGALS4, p63, S100A2 in serial sections of human PDAs of classical, IC and basal-like differentiation. (D–F) Representative RNA ISH of *SPDEF* and *ERN2* combined with IF for CK19, AGR2, MUC5AC and LGALS4 in a human PDA TMA (n=73) (D) a human IPMN (n=52) (E) and human PanINs (n=3) (F) Scale bar, 200 µm. The percentage of CK19-positive cells presenting the indicated markers is reported.

Next, we sought to compare the expression of the SPDEF programme with human and mouse PDA signatures, respectively (figure 4A,B; online supplemental figure 4A). We found a strong correlation with the expression of the scClassical signature ($R=0.68$), a poor correlation with the expression of the IC signature ($R=0.0001$) and an inverse correlation with the expression of the scBasal signature ($R=-0.32$). To corroborate this finding, we analysed RNA-seq data of laser-capture microdissected epithelium from patients with PDA and our models of intraductally grafted slow and fast-progressing human organoid lines, which recapitulated the features of the classical and basal-like differentiation

of human PDA, respectively (online supplemental figure 4B–D).^{10 12 36} We established that classical PDAs and slow progressors presented a significantly higher expression of the SPDEF programme compared to basal-like PDAs and fast progressors, suggesting that the SPDEF programme may be vital for classical differentiation.

Since in the KPC GEMM the Spdef programme was highly expressed in precancerous cells that retained the wild-type *Trp53* allele, we evaluated whether the *TP53* status correlated with the expression of the SPDEF programme in human PDAs but did not observe any significant association (online supplemental figure 4E–G). On the contrary, in two out of three of the

datasets we analysed, we found a statistically significant increase in the expression of the SPDEF programme in SMAD4 altered compared with wild-type human PDAs, further supporting a role for TGF β signalling in inhibiting SPDEF activation (online supplemental figure 4H–J).

To validate our findings from these *in silico* analyses, we evaluated the expression of *SPDEF* and *ERN2* by RNA ISH and AGR2 and MUC5AC by IHC in human PDAs of classical, IC and basal-like differentiation, as defined by p63, S100A2 and LGALS4 immunolabeling (figure 4C). Of note, AGR2 is also a classical marker.⁶ We confirmed that *SPDEF* and its targets were highly expressed in classical PDAs, moderately expressed in IC PDAs and absent or only weakly expressed in basal-like PDAs. This expression pattern was particularly evident in a PDA sample in which classical PDA cells were located adjacent to basal-like PDA cells. Next, we extended this analysis by performing RNA ISH in combination with IF on a tissue microarray (TMA) of PDA samples and verified that PDAs with a high percentage of malignant cells expressing the classical marker LGALS4 presented a high percentage of *SPDEF*, *ERN2*, AGR2 and MUC5AC expressing cells (figure 4D; online supplemental figure 4K).

Recently, other groups reported that PanIN lesions exhibited a classical differentiation and that SPDEF was activated as indolent IPMNs progressed to higher-grade lesions.^{37–39} Here, we revealed by RNA ISH combined with IF that *SPDEF* and its target genes *ERN2*, AGR2 and MUC5AC were expressed in IPMNs of the pancreas and human PanINs (figure 4E and F; online supplemental figure 4L).

Finally, we evaluated previously published transcriptome data comparing normal human pancreas and PDA and found that *SPDEF* was upregulated in tumour and metastatic lesions (online supplemental figure 4M).⁶ We further corroborated the increased expression pattern of *SPDEF* in organoid cultures derived from human PDA tumours, while *SPDEF* was expressed at low levels in organoids derived from normal pancreatic epithelial cells (online supplemental figure 4N).⁴⁰

Collectively, we found that the expression of SPDEF was elevated in human precancerous lesions and classical PDAs, reduced in IC PDAs and repressed in basal-like PDAs, revealing a potential role of SPDEF in the transitions of cell differentiation states during PDA progression.

Classical PDAs are dependent on SPDEF for tumour growth

We next sought to understand the function of SPDEF in human PDA progression. First, we assessed *SPDEF* expression in several non-primary PDA cell lines and the slow-progressing human organoid line hF27, which were defined as classical or basal-like by transcriptomic analyses not always in agreement (online supplemental figure 5A).^{1241–43} Intriguingly, we found that *SPDEF* mRNA levels correlated with the molecular subtype of the PDA cells *in vivo*, but that was not the case *in vitro* (figure 5A,B; online supplemental figure 5B). Following orthotopic transplantation into NOD scid gamma (NSG) mice, the PDA cells separated into two groups: high SPDEF-expressing tumours (hF27, CFPAC1 and HPAF-II) and low SPDEF-expressing tumours (BxPC3, YAPC and AsPC1). The high SPDEF-expressing tumours were well differentiated and expressed the TF determinants of classical differentiation FOXA1, GATA6 and HNF4A. Conversely, the low SPDEF-expressing tumours were poorly differentiated and expressed known TF drivers of basal-like differentiation: BxPC3 and YAPC tumours activated p63, while AsPC1 tumours ZEB1.^{17,41} Thus, we confirmed that SPDEF is highly expressed

by classical PDAs and absent or only weakly expressed by basal-like PDAs.

To determine if SPDEF supports the growth of classical PDA, we deleted *SPDEF* in high SPDEF-expressing PDA cell lines using sgRNA pairs designed to eliminate the TSS and isolated single-cell-derived clones (online supplemental figure 5C,D). *SPDEF* loss did not slow the rate of cell division *in vitro* (online supplemental figure 5E); however, it severely impaired the growth of hF27, CFPAC1 and HPAF-II tumours *in vivo* following orthotopic transplantation and it extended the survival of orthotopically grafted HPAF-II tumour models (figure 5C–G; online supplemental figure 5F–I). Of note, the growth defect *in vivo* of CFPAC1 and HPAF-II *SPDEF* KO cells could only be partially rescued when the *SPDEF* cDNA was introduced before performing the inactivation of the endogenous gene.

Next, to determine the effect of *SPDEF* on the growth of basal-like tumours, we performed loss-of-function and gain-of-function experiments (online supplemental figure 5J). We found that genetic manipulation of *SPDEF* in BxPC3, YAPC and AsPC1 cells did not have a clear effect on the proliferation *in vitro* (online supplemental figure 5K). In addition, it did not alter tumour growth *in vivo* for BxPC3 and YAPC cells or extend the survival of orthotopically grafted AsPC1 tumour models (figure 5H–J; online supplemental figure 5L,M).

To investigate the molecular mechanisms underlying the impaired growth of *SPDEF* KO classical PDAs, we performed RNA-seq on the xenografts. Consistent with the slower growth *in vivo*, we observed the repression of proliferation-related genes in *SPDEF*-deleted tumours (figure 5K–M; online supplemental table 6).

Altogether, our results strongly indicated that SPDEF was important for the growth of classical PDAs.

The SPDEF target genes *ERN2*/IRE1 β and AGR2 support classical PDA growth and prevent aberrant mucus production

PDA precancerous lesions and classical PDAs were associated with high levels of mucins in histopathological assessment.^{2,6} Given the finding that SPDEF was highly active in these cells, we investigated SPDEF's role in the regulation of mucus production.

First, we explored whether genetic manipulation of *SPDEF* would affect the mucus-secreting activity of classical and basal-like tumours by Alcian blue (AB) staining. We found that deletion of *SPDEF* reduced mucus secretion in BxPC3 and YAPC tumour models and *SPDEF* overexpression partially restored mucus production in YAPC tumours, while it significantly induced mucus production in BxPC3 tumours (figure 6A,B). The rescue in mucus production correlated with the restoration in the expression of SPDEF target genes AGR2, *ERN2* and MUC5AC which was complete in BxPC3 tumours and only partial in YAPC tumours (figure 6D). AsPC1 control tumours were unique as they presented the lowest expression of *SPDEF* and did not secrete mucus (figures 5A and 6C). In these tumours, SPDEF overexpression induced the upregulation of SPDEF target genes and TFF1, a peptide found in mucus (figure 6C,D). In AsPC1 tumours, mucus production was assessed by TFF1 IHC as necrotic areas confounded the quantification. In summary, SPDEF modulated mucus production in basal-like tumours.

Next, we further characterised classical tumours. To our surprise, we did not observe any alteration in mucus

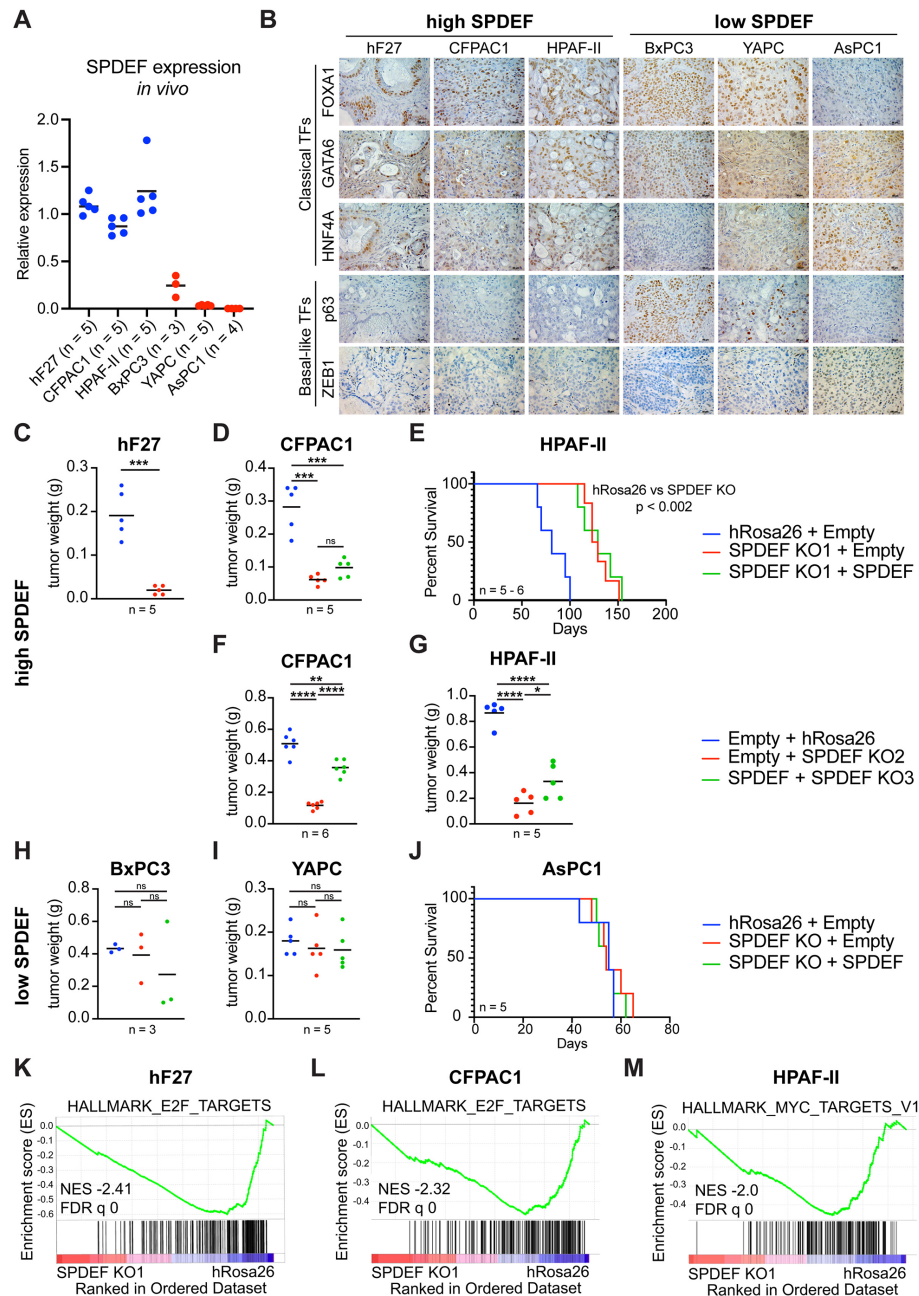


Figure 5 Classical PDAs are dependent on SPDEF for tumour growth. (A) RT-qPCR analysis of *SPDEF* expression in control tumours derived from transplant models of hF27, CFPAC1, HPAF-II, BxPC3, YAPC and AsPC1. Results show mean of biological replicates. (B) Representative IHC for FOXA1, GATA6, HNF4A, p63 and ZEB1 in control tumours derived from transplant models of hF27, CFPAC1, HPAF-II, BxPC3, YAPC and AsPC1. (C) Quantification of weight of tumours derived from hF27 orthotopically grafted models of *SPDEF* KO and hRosa26 clones in NSG mice. Results show mean of biological replicates. Unpaired Student's t-test. (D, H, I) Quantification of weight of tumours derived from CFPAC1 (D), BxPC3 (H) and YAPC (I) orthotopically grafted models of hRosa26 and *SPDEF* KO clones with (SPDEF) or without (Empty) *SPDEF* cDNA expression following the knock-out in NSG mice. Results show mean of biological replicates. Unpaired Student's t-test. (E, J) Kaplan-Meier survival curve of per cent survival for HPAF-II (E) and AsPC1 (J) orthotopically grafted models of hRosa26 and *SPDEF* KO clones with (SPDEF) or without (Empty) *SPDEF* cDNA expression following the knock-out in NSG mice. Log-rank (Mantel-Cox) test. (F, G) Quantification of weight of tumours derived from CFPAC1 (F) and HPAF-II (G) orthotopically grafted models of hRosa26 and *SPDEF* KO clones with (SPDEF) or without (Empty) *SPDEF* cDNA expression prior to the knock-out in NSG mice. Results show mean of biological replicates. Unpaired Student's t-test. (K, L) GSEA signature 'HALLMARK_E2F_TARGETS' is repressed in hF27 and CFPAC1 *SPDEF* KO1 compared to hRosa26 tumours. (M) GSEA signature 'HALLMARK_MYC_TARGETS_V1' is repressed in HPAF-II *SPDEF* KO1 compared with hRosa26 tumours. NES, normalised enrichment score; FDR, false discovery rate.

production in *SPDEF* KO tumours by AB staining and IF for MUC5AC (online supplemental figure 6A,B). The TF MYRF was previously reported to protect classical PDA cells from ER stress caused by mucus production by regulating genes involved in protein maturation and the unfolded protein

response (UPR).⁴⁴ We wondered whether MYRF or other UPR genes could be compensating for the loss of *SPDEF*; however, we found that their expression was not increased in *SPDEF* KO tumours (online supplemental figure 6C). Furthermore, differently from MYRF KO cells, *SPDEF* KO

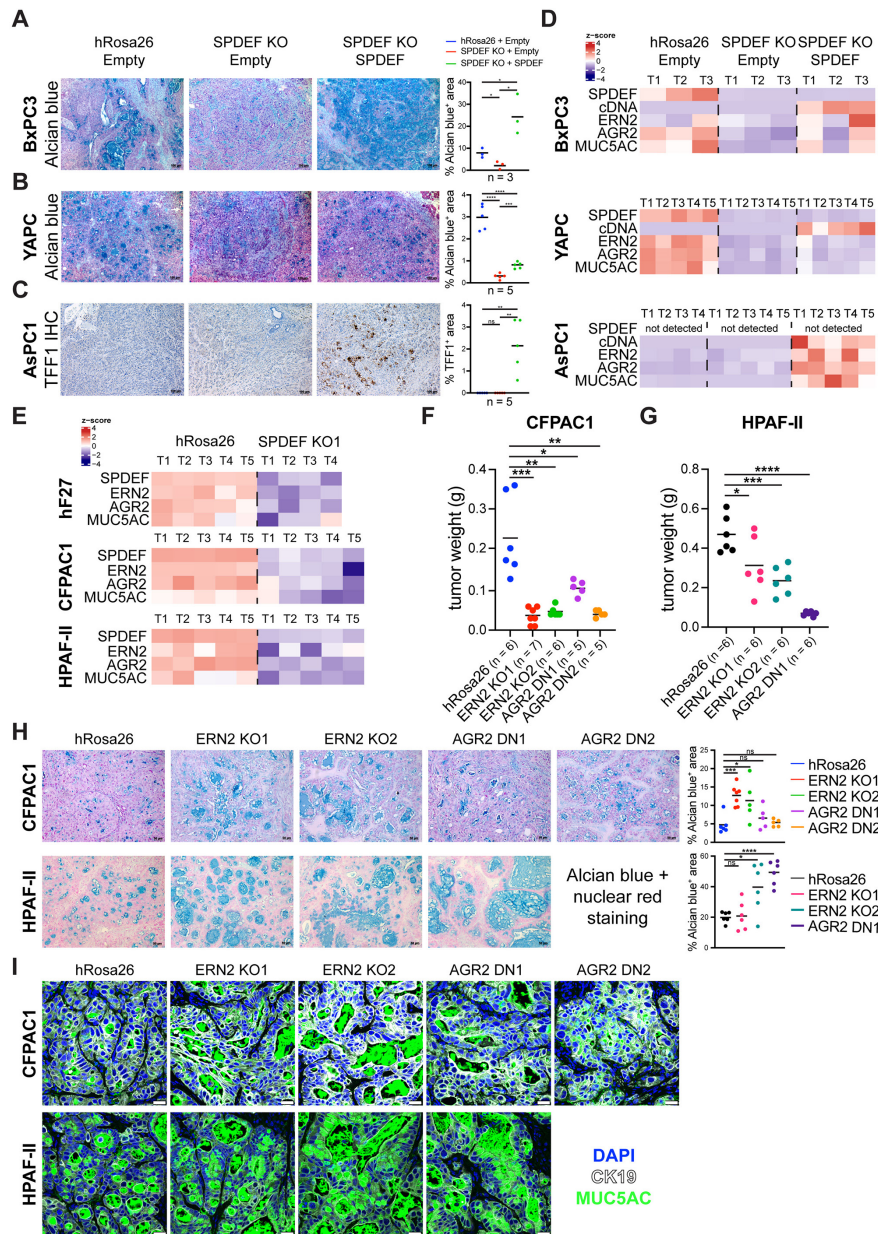


Figure 6 The SPDEF target genes *ERN2/IRE1β* and *AGR2* support classical PDA growth and prevent aberrant mucus production (A, B) Left, representative AB and nuclear red staining of BxPC3 and YAPC orthotopically grafted models of hRosa26 and *SPDEF* KO clones with (SPDEF) or without (Empty) *SPDEF* cDNA expression. Scale bars, 100 μ m. Right, average percentage of AB positive area. Unpaired Student's t-test. (C) Left, representative IHC for TFF1 in AsPC1 orthotopically grafted models of hRosa26 and *SPDEF* KO clones with (SPDEF) or without (Empty) *SPDEF* cDNA expression. Scale bars, 100 μ m. Right, average percentage of TFF1 positive area. Unpaired Student's t-test. (D) Heatmap of z-score values of *SPDEF*, *SPDEF* cDNA, *ERN2*, *AGR2* and *MUC5AC* expression as determined by RT-qPCR in tumours derived from BxPC3, YAPC and AsPC1 orthotopically grafted models of hRosa26 and *SPDEF* KO clones with (SPDEF) or without (Empty) *SPDEF* cDNA expression. (E) Heatmap of z-score values of *SPDEF*, *ERN2*, *AGR2* and *MUC5AC* expression as determined by RNA-seq in tumours derived from hF27, CFPAC1 and HPAF-II orthotopically grafted models of *SPDEF* KO1 and hRosa26 clones. (F, G.) Quantification of weight of tumours derived from CFPAC1 (F) and HPAF-II (G) orthotopically grafted models of *ERN2* KO, *AGR2* partial inactivation DN and hRosa26 clones in NSG mice. Results show mean of biological replicates. Unpaired Student's t-test. (H) Left, representative AB and nuclear red staining of CFPAC1 and HPAF-II orthotopically grafted models of *ERN2* KO, *AGR2* partial inactivation DN and hRosa26 clones. Scale bars, 100 μ m. Right, average percentage of AB positive area. Unpaired Student's t-test. (I) Representative IF for CK19 and MUC5AC in tumour sections derived from CFPAC1 and HPAF-II orthotopically grafted models of *ERN2* KO, *AGR2* partial inactivation DN and hRosa26 clones. Scale bars, 25 μ m.

cells were as sensitive as control cells to ER stress-inducing drugs Thapsigargin, Tunicamycin and Brefeldin A (online supplemental figure 6D).

Next, we hypothesised that the tumours might have reacquired expression of *SPDEF* or its target genes. However, we confirmed *SPDEF* inactivation by RNA-seq on the tumours'

RNA (figure 6E; online supplemental table 6). Furthermore, we showed that *SPDEF* target genes *AGR2*, *ERN2* and *MUC5AC* were downregulated at the mRNA level in *SPDEF* KO tumours. However, this transcriptional repression was only partial. Analysis of published ChIP-seq data in CFPAC1 cells and CUT&RUN profiling of GATA6 binding sites in HPAF-II cells indicated that

the expression of *AGR2*, *ERN2* and *MUC5AC* was potentially regulated by additional TFs controlling classical differentiation in PDA which may compensate for the loss of SPDEF (online supplemental figure 6E, online supplemental table 3).⁴²

To investigate whether the residual expression of *ERN2*/*IRE1β* and *AGR2* in *SPDEF* KO tumours could explain the lack of measurable alterations in mucus production, we deleted *ERN2* and *AGR2* in CFPAC1 and HPAF-II cells using sgRNA pairs designed to eliminate the TSS and isolated single-cell-derived clones (online supplemental figure 6F,G). We were able to KO *ERN2* but only partially inactivate *AGR2* as assessed at the mRNA level and by measuring the deletion of the TSS by PCR on the genomic DNA. Inactivation of *ERN2* and *AGR2* did not have a clear effect on cell proliferation *in vitro*; however, it severely impaired tumour growth *in vivo* following orthotopic transplantation (figure 6F,G; online supplemental figure 6H–J). Analysis of mucus production by AB staining indicated that *ERN2* deletion resulted in enlarged ducts associated with aberrant mucus accumulation, which was reminiscent of the phenotype observed in *MYRF* KO tumours (figure 6H).⁴⁴ Partial inactivation of *AGR2* also resulted in an abnormal increase in secreted mucus in HPAF-II tumours, but not in CFPAC1 tumours. CFPAC1 *AGR2* inactivated tumours presented abnormally high levels of *MUC5AC* intracellularly as shown by IF (figure 6I).

Overall, these data indicated that SPDEF controlled *ERN2*/*IRE1β* and *AGR2* expression, which in turn regulated mucus production and secretion.

Inactivation of the SPDEF-regulated mucus production programme was associated with features of classical-to-basal-like phenotype switch

Expression analysis of CFPAC1 and HPAF-II tumours revealed downregulation of classical genes and upregulation of basal-like genes in *SPDEF* KO compared to control tumours (figure 7A,B; online supplemental table 6). This suggested that *SPDEF* KO cells were under selective pressure to undergo phenotypic interconversion to survive and grow. Specifically, in CFPAC1 tumours we noted the activation of a squamous differentiation programme as indicated by the statistically significant upregulation of *TP63* and its target gene *KRT5*, while in HPAF-II tumours we observed the activation of an EMT programme as indicated by the statistically significant induction of the EMT regulator *ALX1* and the basal-like marker *KRT81* (online supplemental figure 7A,B).^{41 45 46} Immunolabeling analysis showed that many cells in CFPAC1 tumours activated p63 and its target gene *S100A2*, while some cells in HPAF-II tumours induced the EMT regulator *SLUG* following *SPDEF* inactivation (figure 7C,D; online supplemental figure 7C).¹⁷ Of note, the phenotypic interconversion could be prevented when the *SPDEF* cDNA was introduced before performing the KO of the endogenous gene, suggesting that SPDEF loss irreversibly committed PDA cells to certain differentiation fates.

We next investigated *ERN2*- and *AGR2*-inactivated tumours. We found that, same as *SPDEF* KO tumours, CFPAC1 tumours inactivated for *ERN2* and *AGR2* increased the expression of p63 and *S100A2*, while HPAF-II tumours *SLUG* (figure 7E; online supplemental figure 7D). Notably, p63, *S100A2* and *SLUG* upregulation were not observed *in vitro* preimplantation, suggesting an involvement of the microenvironment in driving the phenotypic interconversion (online supplemental figure 7E–G).

In sum, SPDEF and its target genes *ERN2*/*IRE1β* and *AGR2* supported the fitness of classical PDAs. In response to their

abrogation, tumours initiated a classical-to-basal-like phenotype switch.

DISCUSSION

PDA is a heterogeneous disease as a result of cell plasticity.^{10 11 13} Identifying the determinants of cancer cell plasticity is crucial to comprehend disease progression and develop optimal treatment strategies. Here, we identified multiple expression states in a well-established GEMM of pancreatic cancer that reflected different stages of the disease, and revealed some of the TFs driving these phenotypes. In addition, we described a previously unappreciated role for Spdef, the master regulator of secretory cell development, in promoting pancreatic cancer.

We discovered that Spdef was expressed in precancerous lesions and then its expression was repressed as neoplastic cells became invasive by TGFβ signalling. By comparative analysis of cell differentiation states in mice and humans, we found that the expression of the SPDEF programme was elevated in human precancerous lesions and classical PDAs and reduced in basal-like PDAs. Loss of SPDEF and its target genes *AGR2* and *ERN2*/*IRE1β* impaired the growth of mouse tumour organoids and classical PDA cells *in vivo*.

SPDEF was reported to exhibit both tumour-suppressive and oncogenic functions. Our current understanding of SPDEF's role in supporting PDA cells with epithelial/classical but not invasive/basal-like phenotype could help to reconcile some of these controversial findings. For example, SPDEF induced mammary luminal epithelial lineage-specific gene expression and promoted the survival of luminal tumour cells.⁴⁷ On the contrary, expression of SPDEF inhibited growth, motility and invasion of aggressive basal breast cancer cell lines.^{48 49} Similar to breast cancer cells, SPDEF promoted luminal epithelial differentiation in prostate cancer and deletion of SPDEF in luminal cells resulted in the induction of EMT-related proteins and increased migration while expression of SPDEF in invasive cells suppressed metastasis formation by inducing epithelial features.^{50–52} By analysing both the classical and basal-like phenotypes of PDA, we revealed that SPDEF is a mediator of epithelial/classical identity and its activity is dependent on the cell differentiation state. Furthermore, SPDEF's role as a mediator of classical identity was needed to optimally support tumour growth, as indicated by the finding that the growth defect induced by SPDEF loss in classical PDAs could be partially rescued only when *SPDEF* cDNA expression was introduced before knocking-out the endogenous gene. In addition, *SPDEF* loss facilitated subtype interconversion from a classical towards a basal-like differentiation, which was reminiscent of the phenotype switch induced by inactivation of the TF determinants of classical differentiation *FOXA1* and *FOXA2* in lung cancer and *GATA6*, *HNF1* and *HNF4* in PDA.^{53 54}

Mucin production plays an important role in the biology of normal and diseased pancreas.³¹ Here, we found that Spdef was expressed by mucus-secreting precancerous lesions in KPC tumours. In human PDA, SPDEF was sufficient but not necessary to regulate mucus production. Indeed, genetic manipulation of SPDEF modulated mucus production in basal-like tumours. Meanwhile, deletion of SPDEF in classical tumours did not ablate their secretory function. Altogether, this indicated that SPDEF is one but not the only regulator of mucus production in PDA.

Mucus-secreting cells must adapt the activity of their ER to sustain the complex folding and glycosylation of secreted proteins.³² One of the strategies adopted by mucus-producing PDAs to deal with the stress caused by mucus production, is

study identified a role for *Ern2/Ire1β* in tumourigenesis. In goblet cells and airway epithelium, *Ern2/Ire1β*, but not its most studied paralog and mediator of UPR *Ern1/Ire1α*, promoted efficient mucin production and folding in the ER.^{56–59} Our work indicated that the distinctive role of *Ern2/Ire1β* in preventing ER stress caused by the folding of mucins and other secreted proteins had a tumour-promoting role in PDA. Of note, *Ern1/Ire1α* inactivation is embryonic lethal, while *Ern2/Ire1β*-deficient mice are viable, indicating functional differences between the two paralogs.^{60–62} Our data suggest that the development of *Agr2*- and *Ire1β*-specific inhibitors could be beneficial for the treatment of PDAs of the classical subtype and potentially other mucus-secreting tumours.

RNA-based subtypes are beginning to inform treatment strategies for patients. Clinical trials such as PASS-01 (NCT04469556) are ongoing to directly evaluate the efficacy of standard-of-care chemotherapy in PDA patients with classical-like versus basal-like-predominant metastatic PDA.⁶³ Here, we identified a classical-to-basal-like phenotype switch in PDA tumours that was triggered by inactivation of the SPDEF programme. Our data indicated that understanding cell state evolution during therapy is important to prevent relapse, and drug combinations that suppress distinct cell states may be required to overcome resistance and enhance overall responses. Future studies should be aimed at directing pancreatic cancer cell differentiation into cell states that can be eradicated therapeutically.

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